

**F. No. 07/07/2009-FB-II**  
**Government of India**  
**Ministry of Finance**  
**Department of Economic Affairs**  
**Multilateral Institutions Division**

North Block, New Delhi  
Date: March 28, 2018

**OFFICE MEMORANDUM**

**Subject: Modification of Preliminary Project Report (PPR) Format for proposals submitted by State Governments and Central Ministries to be considered by MI Division for external assistance from MDBs-reg.**

The undersigned has been directed to convey that with effect from April 1<sup>st</sup>, 2018, proposals submitted by State Governments and Central Ministries to MI Division to be considered for external assistance from MDBs must be sent in the PPR format enclosed herewith.

2. It may be noted that the submission of PPR format would be made online shortly. The date for the same shall be intimated in due course.
3. This issues with the approval of the competent authority.

(Encl: As above)

  
28.3.18  
**(Bandana Preyashi)**  
**Director (MI)**

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To,

1. All Secretaries in Government of India
2. All Chief Secretaries in the States and Administrators in the Union Territories
3. Executive Director (India), World Bank
4. Executive Director (India), ADB
5. Country Director (India), World Bank
6. Country Director (INRM), ADB
7. All Joint Secretaries in DEA for information
8. All Directors/Deputy Secretaries in MI Division of DEA

**PRELIMINARY PROJECT PROPOSAL REPORT FORMAT**

1.	Name of the Project	
2.	Sectoral Area (Indicate from the list at Annexure A)	
3.	Central Line Ministry or Department for the Project	
4.	State Line Department for the Project	
5.	Proposed Project Implementation Agency	
6.	Institutional Structure for Delivery (SPV/PMU/Any other)	
7.	Basic Design of the Project	
	Goals and Objectives (max 100 words)	
	Activities Involved (max 100 words)	
	Outputs of the Project (max 100 words)	
	Outcome of the Project (max 100 words)	
8.	Finance Plus Element	
	Systemic and Transformational Impact (max 100 words)	

	Innovations and Piloting of New Approaches (max 100 words)	
	Innovations in Financing Leveraging (max 100 words)	
	International Best Practices Proposed to be Adopted (max 100 words)	
9.	Other Elements (if any)	
	Private Sector Engagement (Financing, Supply Side Involvement, Provision Of Services) (max 100 words)	
	Climate Mitigation / Adaptation (max 100 words)	
	Beneficiary Engagement / Community Involvement / Community Monitoring (max 100 words)	
	Mainstreaming of Gender (max 100 words)	
10.	Name / Names of the State / States Involved	
11.	Name / Names of the District / Districts Involved	
12.	Proposed Project Duration	From
		To
		Years
		Months
13.	Type of Project (Please tick)	Central Sector
		State Sector
14.	Category of State (Please tick)	General
		Special Category

		Both	
15.	Counterpart Funding by the Centre or State or Both (Specify %)	Central Sector (min 50%)	
		State Sector (min 30%) for General Category	
		State Sector (min 20%) for Special Category	
		Other	

16.	Financial Arrangement	Fill details below (both in INR crores and USD million)						
Tranche	Total External Assistance Sought	Counterpart funding being made available by				Total Project Cost		
		Implementing Agency	State Government	Central Government	Others, if any			
IN INR								
Tranche 1								
Tranche 2								
Tranche 3								
TOTAL								
IN USD (One USD = ..... INR) as on ..... (Date)								
Tranche 1								
Tranche 2								
Tranche 3								
TOTAL								
17.	Year wise financial projections of fund utilisation							
Year	1	2	3	4	5	6	7	TOTAL
INR								
USD								

18.	Name of the Multilateral Development Bank (MDB)/ International Financial Institution (IFI) (Indicate from the list at Annexure B) from which External Assistance is sought	
19.	Details of Clearances / Comments / Observations about the project (Please enclose copies)	
<b>Central</b>		
	NITI Aayog	Yes / No
	Central Line Ministry/Department (for Central PSU-Executed Projects)	Yes / No
	Ministry of Home Affairs (MHA), Gol (for all UTs without State Legislature)	Yes / No
<b>State (Excluding North Eastern States)</b>		
	NITI Aayog	Yes / No
	Proposed Central Line Ministry or Department for the Project	Yes / No
	Debt – Sustainability Self-Certification by State Finance Department	Yes / No
<b>State (North Eastern States)</b>		
	NITI Aayog	Yes / No
	Proposed Central Line Ministry or Department for the Project, GOI	Yes / No
	Ministry of External Affairs, GOI	Yes / No
	Ministry of Home Affairs, GOI	Yes / No
	Ministry of Development of North Eastern Region, GOI	Yes / No
	Debt – Sustainability Self-Certification by State Finance Department	Yes / No
20.	Whether Feasibility Study for the project is available?	Yes / No
21.	Whether Detailed Project Report (DPR) or Detailed Engineering Design for the project is available?	Yes / No



22.	Whether following clearances are involved in the project	
	Environment	Yes / No
	Coastal Regulation Zone	Yes / No
	Forest	Yes / No
	Heritage	Yes / No
	Any other (Please specify)	
23.	Current status of clearances mentioned in Point 22	
	Environment(max 100 words)	
	Coastal regulation Zone(max 100 words)	
	Forest(max 100 words)	
	Heritage(max 100 words)	
	Any other (Please specify)(max 100 words)	
24.	Whether any court or tribunal proceedings are	Yes / No

	pending that could impact the project?	
25.	Current Status of court or tribunal proceedings if pending (max 100 words)	
26.	Whether Land pooling / land acquisition / Resettlement and Rehabilitation is involved in the project?	
	Land Pooling	Yes / No
	Land Acquisition	Yes / No
	Resettlement & Rehabilitation	Yes / No
	Any Other (Please specify)	
27.	Current Status of Land pooling / land acquisition / Resettlement and Rehabilitation involved in the project	
	Land Pooling(max 100 words)	
	Land acquisition(max 100 words)	
	Resettlement & Rehabilitation(max 100 words)	
	Any Other (Please specify)(max 100 words)	
28	Whether External Assistance has been availed in the past for similar project (i.e., earlier phase etc.)? If yes,	Yes /No
	Name of the Project:	





## Annex A

### Sectoral Area

1. Agriculture (including Crop Insurance) and Allied Sector (including Dairy, Fisheries, Poultry and Horticulture)
2. Disaster Management
3. Education & Skill Development
4. Energy (including Renewables)
5. Environment and Climate Change
6. Finance (includes Public Finance Management and Financial Institutions)
7. Governance (includes service delivery)
8. Health and Nutrition
9. Irrigation/Water Resources (including Rural Water and Watershed)
10. Rural Development (including rural roads and rural sanitation), Livelihoods and Panchayati Raj Institutions (PRI)
11. Tourism & Culture
12. Transport and Logistics (including Airports, Ports, Railways, Inland Waterways, Metros, Bus Service, National Highways, State Highways)
13. Urban Development (including Urban roads and Urban Water/Sanitation)
14. Social Safety Net programmes/Initiatives
15. Telecom, Media, Technology and Venture Capital
16. Manufacturing, Agribusiness and Services
17. Natural Resources
18. Others (Please Specify)

## Annex B

### Name of MDB/IFI from which external assistance is sought

1. World Bank – IBRD
2. World Bank – IFC
3. Asian Development Bank (ADB)
4. IFAD
5. NDB
6. Others (Please specify)